| Customer No. 026418   |   |   |                                     |      |  |  |  |  |
|---|---|---|-------------------------------------|------|--|--|--|--|
| FORM PTO  | om<br>-1390   | ates<br>/US)  | Page 1                              |      |  |  |  |  |
| Attorney's  | Docket No.:   | GK-STR-1014/500638.20036  |                                     |      |  |  |  |  |
| U.S. Appl   | ication No.:  | 10/587,367  | Confirmation No.                    | 8433 |  |  |  |  |
| Internation   | nal Application No.:  | PCT/EP2005/00728  |                                     |      |  |  |  |  |
|   | nal Filing Date:  | JANUARY 26, 2005  | 26 JANUARY 2005                     |      |  |  |  |  |
| Priority Date Claimed:  |   | JANUARY 26,2004<br>FEBRUARY 27, 2004  | 26 JANUARY 2004<br>27 FEBRUARY 2004 |      |  |  |  |  |
| Title of Invention:   |   | CLIP FIXING ELEMENT FOR THE ASSEMBLY OF FIXTURE DEVICES SUCH AS LOCKS, HINGE PARTS AND HANDLES IN OPENINGS IN A THIN WALL |                                     |      |  |  |  |  |
| Applicant(  | (s) for (DO/EO/US):   | Dieter RAMSAUER   |                                     |      |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  [] 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  [X] 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.  [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)]. The submission must include items (5), (6), (9), and (21) indicated below.  [] 4. The US has been elected (Article 31).  [] 5. A copy of Publication No. WO 2005/071192 04AUG05 the International Application as filed [35 U.S.C. 371(c)(2)]  [] a) [] is attached hereto (required only if not communicated by the International Bureau).  [] b) [] has been communicated by the international Bureau.  [] c) [] is not required, as the application was filed in the United States Receiving Office (RO/US)  [] An English language translation of Publication No. 2005/071192 04AUG05 the International Application as filed 35 U.S.C. 371(c)(2)]  [] a) [] is attached hereto  [] b) [] has been previously submitted under 35 U.S.C. 154(d)(4).  [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]  [] a) [] are attached hereto (required only if not communicated by the International Bureau).  [] have not been made; however, the time limit for making such amendments has NOT expired.  [] have not been made and will not be made  An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]  An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]  [] 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 |   |   |                                     |      |  |  |  |  |
| U.S.C. 371(c)(5)]  Items 11. to 20. Below concern other document(s) or information included:  |   |   |                                     |      |  |  |  |  |
| [] 12.<br>[] 13.<br>[] 14.<br>[] 15.<br>[] 16.<br>[] 17.<br>[] 18.<br>[] 19.  | <ul> <li>[] 12. An Assignment document to for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>[] 13. A preliminary amendment</li> <li>[] 14. An Application Data Sheet under 37 CFR 1.76</li> <li>[] 15. A substitute specification with abstract (attached to the preliminary amendment)</li> <li>[] 16. A change of power of attorney and/or address letter</li> <li>[] 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li>[] 18. A second copy of the published International Application under 35 U.S.C. 154 (d)(4).</li> <li>[] 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154 (d)(4).</li> </ul> |   |                                     |      |  |  |  |  |
| Electronically filed on: April 26, 2007 I hereby certify that this Amendment is being electronically filed on April 26, 2007 with the Patent and Trademark Office in Alexandria, VA 22313-1450  /Ruth Montalvo Date: 04/26/2007   |   |   |                                     |      |  |  |  |  |

| U.S. Application No. (i   |                          |  |                                      | 87,367       |   | ttorney's Docket                        | No: <b>GK-STR-101</b> 4  | /500638.20036        |
|---|--------------------------|--|--------------------------------------|--------------|---|---|--|----------------------|
| The following fees are submitted:   |                          |  |                                      |              |   | Applicant use                           | Office use only  |                      |
|   |                          |  |                                      |              |   | \$ 300.00                               | \$   |                      |
| [] 22 Examination fee (37 CFR 1.492(b))  If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Articles 33(1)-(4) \$ 0.00  All other situations\$200.00  |                          |  |                                      |              |   |   | \$   |                      |
| [] 23 Search fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Articles 33(1)-(4) \$ 0.00  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100.00  International Searching Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by IB \$400.00  All other situations\$500.00 |                          |  |                                      |              |   |   |  |                      |
|   | \$                       | ~ <del></del>  |                                      |              |   |   |  |                      |
| [] Additional fee for s<br>compliance with 37 C<br>The fee is \$250.00  | FR 1.821(c)              | or (b) Or COM  | uter program                         | listing file | 100 sheets (excluding sequed in an electronic medium ction thereof. | uence listing in<br>) 37 CFR 1.492(j)). |  |                      |
| Total sheets  | Extra                    | sheets   |                                      |              | dditional 50 or fraction<br>to a whole number.                      | Rate                                    |  |                      |
| - 100 =   |                          | /50 =  |                                      |              |   | X \$250.00                              | \$   |                      |
| Claims  |                          | Numb   | er Filed                             |              | Number Extra  | Rate                                    |  |                      |
| Total Claims:   |                          | 2  | <u>:</u> 1                           | - 20         | 1   | X\$ 50.00                               | \$   |                      |
| Independent Claims:   |                          |  | 4                                    | - 3          | 1   | X \$ 200.00                             | \$   |                      |
|   |                          | M  | JLTIPLE DEF                          | PENDENT      | CLAIM(S) (if applicable)  | + \$ 360.00                             | \$   |                      |
|   |                          |  |                                      | TOT          | TAL OF ABOVE CAL  | CULATIONS:                              | \$   |                      |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [X] 30 months from the earliest claimed priority date [37 CFR 1.492(e)] +  |                          |  |                                      |              |   |   |  |                      |
| TOTAL OF ABOVE CALCULATIONS:  |                          |  |                                      |              |   |   | \$130.00   |                      |
| [X] Applicant claims  | Small Ent                | tity Status [  | See 37 CFR <sup>-</sup>              | 1.27] Red    | luction by ½ for filing by  | small entity -                          | \$ 65.00   |                      |
|   |                          |  |                                      |              |   | SUBTOTAL:                               | \$ 65.00   |                      |
| Processing fee of \$13 claimed priority date [3   | 0.00 for fu<br>37 CFR 1. | rnishing the<br>492(f)]  | English Trans                        | slation late | er than [] 20 [] 30 months  | .+                                      |  |                      |
| TOTAL NATIONAL FEE:   |                          |  |                                      |              |   | \$ 65.00                                |  |                      |
| Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31].\$ 40.00 per property +  |                          |  |                                      |              |   |   | \$   |                      |
|   |                          | and the state of t |                                      |              | T   | OTAL FEE(S):                            | \$ 65.00   |                      |
| AMOUNT TO BE REFUNDED  AMOUNT TO BE CHARGED   |                          |  |                                      |              |   |   |  | \$ \$                |
|   |                          | (Please no   | ote the filing                       | fee is ba    | sed on the claims in the l  | Preliminary Amen                        | dment)   |                      |
| a) [] Check in the  | ne amount                | of \$ .00 f  | o cover the al                       | bove fees    | is enclosed.  |   |  |                      |
|   |                          |  |                                      |              |   | the fee                                 |  |                      |
| b) [X] Please charge my Deposit Account No 50-1529 in the amount of \$ 65.00 to cover the fee  c) [X] The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)   |                          |  |                                      |              |   |   |  |                      |
|   |                          |  |                                      |              | nation on this form may be<br>nd authorization on PTO-2             |   | t card information   | should not be        |
|   |                          |  | e limit under 30<br>lication to pend |              | 94 or 1.495 has not been met,                                       | a petition to revive [                  | 37 CFR 1.137(a) or (b  | )] must be filed and |
| SEND ALL CORF   |                          | NDENCE   | то:                                  |              | Signature:  | Totald                                  | 1 H. Kel   | )                    |
| Gerald H. Kiel, Esq.  Reed Smith LLP (Customer No. 026418)  Attorney: Gerald H. Kie   |                          |  |                                      |              |   |   | ) <u> </u>   |                      |
| (Cod Child LL)  |                          |  |                                      |              |   | 71<br>-                                 | <del>and the state of </del> |                      |
| Jaa Lexington AV  | CIIUC                    | _  |                                      |              | Reg. No.  | 25,116                                  |  |                      |

New York, NY 10022-7650

Date: April 26, 2007



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Vignina 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/587,367

Dieter Ramsauer

GK-STR-1014/500638.20036

INTERNATIONAL APPLICATION NO.

PCT/EP05/00728

I.A. FILING DATE

PRIORITY DATE

01/26/2005

01/26/2004

**CONFIRMATION NO. 8433** 

**371 FORMALITIES LETTER** 

\*OC000000023215151\*

26418 REED SMITH, LLP ATTN: PATENT RECORDS DEPARTMENT 599 LEXINGTON AVENUE, 29TH FLOOR **NEW YORK, NY 10022-7650** 

Date Mailed: 04/03/2007

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 07/26/2006
- English Translation of the IA filed on 07/26/2006
- Copy of the International Search Report filed on 07/26/2006
- Preliminary Amendments filed on 07/26/2006
- Information Disclosure Statements filed on 07/26/2006
- Oath or Declaration filed on 07/26/2006
- U.S. Basic National Fees filed on 07/26/2006
- Substitute Specification filed on 07/26/2006
- Priority Documents filed on 07/26/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

2007 Fleented Decl. Dre 2007 Develline

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

\$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO.         |
|-----------------------------|-------------------------------|--------------------------|
| 10/587,367                  | PCT/EP05/00728                | GK-STR-1014/500638.20036 |

FORM PCT/DO/EO/905 (371 Formalities Notice)